

# PATENT COOPERATION TREATY

From the RECEIVING OFFICE

## PCT

To:  
DAVID A. CARPENTER  
GENENTECH, INC.  
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SOUTH SAN FRANCISCO, CALIFORNIA  
94080-4990

### INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

(PCT Articles 3(4)(i) and 14(1) and Rule 26)

Applicant's or agent's file reference <p style="text-align: center;">P1995R1</p>	Date of mailing <i>(day/month/year)</i> <p style="text-align: right;">27 JAN 2004</p>
International application No. <p style="text-align: center;">PCT/US03/35268</p>	International filing date <i>(day/month/year)</i> <p style="text-align: right;">06 Nov 2003</p>
Applicant GENENTECH, INC.	

1. ☒ The applicant is hereby invited, within the time limit indicated above, to correct, in the international application as filed, the defects specified on the attached:

- ☒ Annex A
- ☐ Annex B1 (*text matter of the international application as filed*)
- ☐ Annex C1 (*drawings of the international application as filed*)

2. ☐ The applicant is hereby invited, within the time limit indicated above, to correct, in the translation of the international application furnished under Rule 12.3 or 12.4, the defects specified on the attached:

- ☐ Annex A
- ☐ Annex B2 (*text matter of the translation of the international application*)
- ☐ Annex C2 (*drawings of the translation of the international application*)

Additional observations (if necessary):

#### HOW TO CORRECT THE DEFECTS?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4).


#### ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau

☒ and the International Searching Authority

Name and mailing address of the receiving Office Mail Stop PCT, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450 Facsimile No. 703-305-3230	Authorized officer <p style="text-align: center;">Yolanda Harrod</p> Telephone No. 703-305-3670
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**The receiving Office has found the following defects in the international application as filed:**

1. As to **signature\*** of the international application (Rules 4.15 and 90.4), the request:
- a. ☐ is not signed
  - b. ☐ is not signed by all the applicants
  - c. ☐ is not accompanied by the statement referred to in the check list in Box No. VIII of the request explaining the lack of the signature of an applicant for the designation of the United States of America
  - d. ☒ is signed by what appears to be an agent/common representative but:
    - ☒ the international application is not accompanied by a power of attorney appointing him
    - ☐ the power of attorney accompanying the international application was not signed by all the applicants
  - e. ☐ other (*specify*):

\* All applicants must sign, including inventors if they are also applicants (e.g. where the United States of America is designated).

2. As to indications concerning the **applicant**, the request (Rules 4.4 and 4.5):

- a. ☐ does not properly indicate the applicant's name (*specify*):
- b. ☐ does not indicate the applicant's address
- c. ☐ does not properly indicate the applicant's address (*specify*):
- d. ☐ does not indicate the applicant's nationality
- e. ☐ does not indicate the applicant's residence
- f. ☐ other (*specify*):

3. As to the **language** of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter(a) and (c)):

- a. ☐ the **request** is not in a language of publication accepted by this receiving Office; (the) language(s) accepted by this receiving Office is/are:
- b. ☐ the **text matter of the drawings** is not in the language in which the international application is to be published, which is:
- c. ☐ the **abstract** is not in the language in which the international application is to be published, which is:

4. The **title** of the invention:

- a. ☐ is not indicated in Box No. I of the request (Rule 4.1(a))
- b. ☐ is not indicated at the top of the first sheet of the description (Rule 5.1(a))
- c. ☐ as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1(a))

5. As to the **abstract** (Rule 8):

- ☐ the international application does not contain an abstract